

## Charles King Mallory IV

### EXPERIENCE

- 2007- Executive Director, The Aspen Institute Berlin e. V.
- 2002-2007 Senior Advisor, **US Department of State**, Bureau of Near Eastern Affairs
- 1998-2002 Consultant, Associate, **Allied Capital Corporation**, Mezzanine Finance Group
- o □ Structured, negotiated, closed, monitored and exited multiple investments.
  - o □□ \$100.5 million invested; average investment size \$11 million
  - o □ 2 deals exited/exiting for DM 4.5M (38%) and \$4M (30%) net gains and IRRs
  - o □ Allied Capital Germany Fund LLC - Screened numerous, and executed one transaction.
- 1992-1997 CEO, **Credit Suisse Investment Funds (Moscow) AO**,  
Director, **Credit Suisse First Boston Investment Management Limited**
- o Launched the first family of domestic unit investment funds in Russia
  - o Assistant portfolio manager EC Equity Derivative Portfolio Ltd. (\$50M)
  - o Organized the flotation of \$30M The Infrastructure Ltd. (US 144A placement)
  - o Participated in floatation and management of \$200M Central European Growth Fund
  - o Participated in the floatation of the Czech CS Podilovy Fund \$20M
- 1991-1994 Assistant Policy Analyst, **Rand Corporation**, Santa Monica, CA
- o Research for DoD on encouraging private investment in Central Europe
  - o Classified project on the political outlook for the Arabian peninsula
  - o Research on possible scenarios for the reabsorbing of Ukraine into Russia
  - o Classified research project on North Korea
  - o Project for DoD tracking new Russian political groups
- 1990-1991 Visiting Fellow, **Stiftung Wissenschaft & Politik**, Ebenhausen, Germany
- 02/88-08/88
- o □ Research on Soviet chemical warfare doctrine lessons for the Middle East
  - o Co-authored and published book on Soviet chemical war fighting doctrine
- 1989-1990 Assistant to CEO, **Granadex SA**, Geneva, Switzerland:
- o Part of \$100M expansion project by Middle Eastern investors involving the construction of an agricultural products processing factory in the former USSR;
- 05/87-11/87 Research Assistant, **Stockholm International Peace Research Institute**, Stockholm:
- o Research on Soviet offensive chemical war fighting doctrine;
  - o Translator for Valentin Fallin, and for Lt. General Chervov. Translator and rapporteur of the SIPRI conference on non-provocative defense.

### EDUCATION

- 1991-1994 Ph.D. (ABD) Policy Analysis, **RAND Graduate School**, Santa Monica, CA, Fellow, **Rand-UCLA Center for Soviet Studies**, Santa Monica, CA
- 1988-1989 MA program, International Relations, **John Hopkins University, School for Advanced International Studies (SAIS)**, Washington DC
- 1983-1987 BA Russian Language and Literature, **Middlebury College**, Middlebury, VT, Phi Beta Kappa (Junior Year), summa cum laude; national Slavic languages honor society.
- 1977-1982 **Westminster School**, London, UK, 'A' Levels in Mathematics, Physics, German

**LANGUAGES** German, Russian, French and Arabic